# **Satisfiability Modulo Theory (SMT)**

Testing, Quality Assurance, and Maintenance Winter 2019

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# **Satisfiability Modulo Theory (SMT)**

Satisfiability is the problem of determining wither a formula F has a model

- if F is *propositional*, a model is a truth assignment to Boolean variables
- if F is *first-order formula*, a model assigns values to variables and interpretation to all the function and predicate symbols

#### **SAT Solvers**

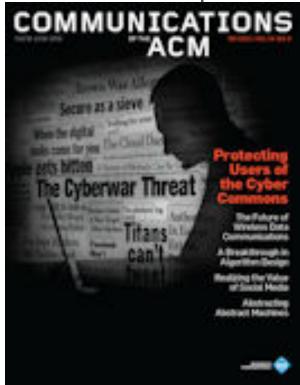
check satisfiability of propositional formulas

#### **SMT Solvers**

• check satisfiability of formulas in a **decidable** first-order theory (e.g., linear arithmetic, uninterpreted functions, array theory, bit-vectors)



## **Background Reading: SMT**



#### COMMUNICATIONS isfiability Modulo Theories: Introduction & Applications

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#### RACT

int satisfaction problems arise in many diverse aruding software and hardware verification, type inferatic program analysis, test-case generation, schedulunning and graph problems. These areas share a
n trait, they include a core component using logical
s for describing states and transformations between
The most well-known constraint satisfaction problem
sitional satisfiability, SAT, where the goal is to deether a formula over Boolean variables, formed using
connectives can be made true by choosing true/false
or its variables. Some problems are more naturally
ed using richer languages, such as arithmetic. A suptheory (of arithmetic) is then required to capture
uning of these formulas. Solvers for such formulations
monly called Satisfiability Modulo Theories (SMT)

SMT solvers have been the focus of increased recent attention thanks to technological advances and industrial applications. Yet, they draw on a combination of some of the most fundamental areas in computer science as well as discoveries from the past century of symbolic logic. They combine the problem of Boolean Satisfiability with domains, such as, those studied in convex optimization and term-manipulating symbolic systems. They involve the decision problem, completeness and incompleteness of logical theories, and finally complexity theory. In this article, we present an overview of the field of Satisfiability Modulo Theories, and some of its applications. Nikolaj Bjørner Microsoft Research One Microsoft Way Redmond, WA 98052 nbjorner@microsoft.com

key driving factor [4]. An important ingredient is a common interchange format for benchmarks, called SMT-LIB [33], and the classification of benchmarks into various categories depending on which theories are required. Conversely, a growing number of applications are able to generate benchmarks in the SMT-LIB format to further inspire improving SMT solvers.

There is a relatively long tradition of using SMT solvers in select and specialized contexts. One prolific case is theorem proving systems such as ACL2 [26] and PVS [32]. These use decision procedures to discharge lemmas encountered during interactive proofs. SMT solvers have also been used for a long time in the context of program verification and extended static checking [21], where verification is focused on assertion checking. Recent progress in SMT solvers, however, has enabled their use in a set of diverse applications, including interactive theorem provers and extended static checkers, but also in the context of scheduling, planning, test-case generation, model-based testing and program development, static program analysis, program synthesis, and run-time analysis, among several others.

We begin by introducing a motivating application and a simple instance of it that we will use as a running example.

#### 1.1 An SMT Application - Scheduling

Consider the classical job shop scheduling decision problem. In this problem, there are n jobs, each composed of m tasks of varying duration that have to be performed consecutively on m machines. The start of a new task can be delayed as long as needed in order to wait for a machine to become available, but tasks cannot be interrupted once

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$$b+2=c \land f(\mathtt{read}(\mathtt{write}(a,b,3),c-2)) \neq f(c-b+1)$$



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**Arithmetic** 



$$b+2=c \wedge f(\mathbf{read}(\mathbf{write}(a,b,3),c-2)) \neq f(c-b+1)$$
 Array theory



$$b+2=c \land f(\mathtt{read}(\mathtt{write}(a,b,3),c-2)) \neq f(c-b+1)$$

Uninterpreted function



$$b+2=c \land f(\mathtt{read}(\mathtt{write}(a,b,3),c-2)) \neq f(c-b+1)$$



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By arithmetic, this is equivalent to

$$b+2=c \land f(\mathtt{read}(\mathtt{write}(a,b,3),b)) \neq f(3)$$



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$$b+2=c \land f(3) \neq f(3)$$

then, the formula is unsatisfiable



$$x \ge 0 \land f(x) \ge 0 \land y \ge 0 \land f(y) \ge 0 \land x \ne y$$



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This formula is satisfiable



$$x \ge 0 \land f(x) \ge 0 \land y \ge 0 \land f(y) \ge 0 \land x \ne y$$

This formula is satisfiable:

Example model:

$$x \rightarrow 1$$
 $y \rightarrow 2$ 
 $f(1) \rightarrow 0$ 
 $f(2) \rightarrow 1$ 
 $f(\ldots) \rightarrow 0$ 



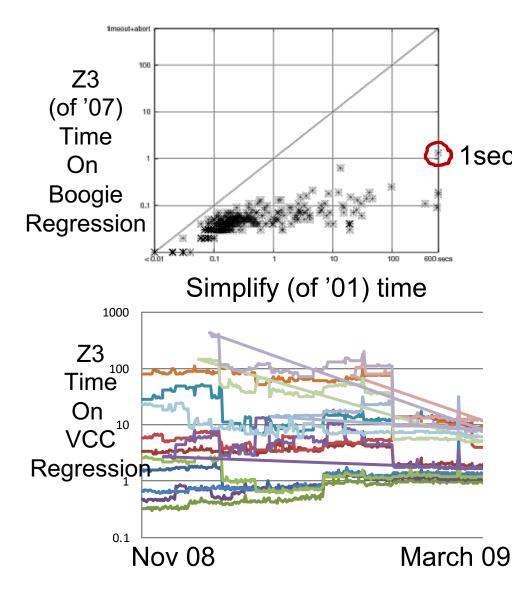
#### **SMT - Milestones**

year	Milestone
1977	Efficient Equality Reasoning
1979	Theory Combination Foundations
1979	Arithmetic + Functions
1982	Combining Canonizing Solvers
1992-8	Systems: PVS, Simplify, STeP, SVC
2002	Theory Clause Learning
2005	SMT competition
2006	Efficient SAT + Simplex
2007	Efficient Equality Matching
2009	Combinatory Array Logic,

Includes progress from SAT:



15KLOC + 285KLOC = Z3

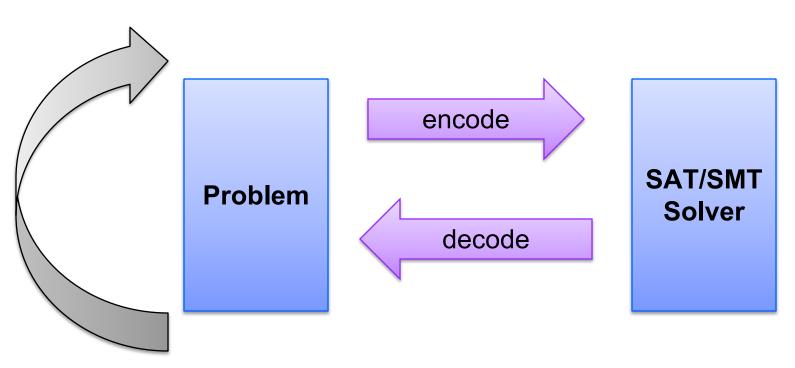




### **SAT/SMT Revolution**

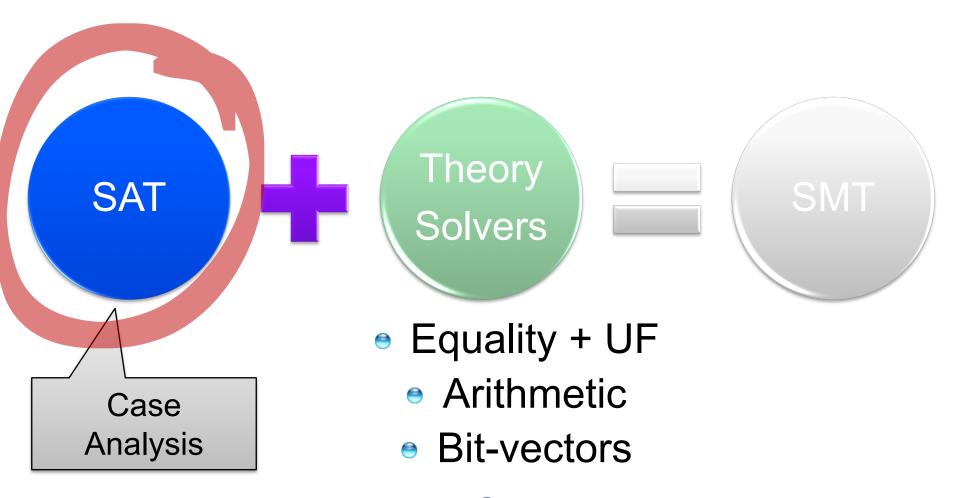
Solve any computational problem by effective reduction to SAT/SMT

• iterate as necessary





# **SMT**: Basic Architecture





#### Basic Idea

$$x \ge 0$$
,  $y = x + 1$ ,  $(y > 2 \lor y < 1)$ 



Abstract (aka "naming" atoms)



#### **Basic Idea**

$$x \ge 0$$
,  $y = x + 1$ ,  $(y > 2 \lor y < 1)$ 
Abstract (aka "naming" atoms)

$$p_1, p_2, (p_3 \lor p_4)$$
  $p_1 \equiv (x \ge 0), p_2 \equiv (y = x + 1),$   $p_3 \equiv (y > 2), p_4 \equiv (y < 1)$ 

SAT Solver



#### **Basic Idea**

$$x \ge 0, \ y = x + 1, \ (y > 2 \lor y < 1)$$
 Abstract (aka "naming" atoms) 
$$p_1, \ p_2, \ (p_3 \lor p_4) \quad p_1 = (x \ge 0), \ p_2 = (y = x + 1), \\ p_3 = (y > 2), \ p_4 = (y < 1)$$
 Assignment 
$$p_1, \ p_2, \ \neg p_3, \ p_4$$



#### **Basic Idea**

$$x \ge 0, \ y = x + 1, \ (y > 2 \lor y < 1)$$

$$Abstract \ (aka "naming" atoms)$$

$$p_1, \ p_2, \ (p_3 \lor p_4) \quad p_1 = (x \ge 0), \ p_2 = (y = x + 1),$$

$$p_3 = (y > 2), \ p_4 = (y < 1)$$

$$Assignment$$

$$p_1, \ p_2, \ p_3, \ x \ge 0, \ y = x + 1,$$

$$q(y > 2), \ y < 1$$



#### **Basic Idea**

Abstract (aka "naming" atoms)
$$p_{1}, p_{2}, (p_{3} \lor p_{4}) \quad p_{1} \equiv (x \ge 0), p_{2} \equiv (y = x + 1), \\ p_{3} \equiv (y > 2), p_{4} \equiv (y < 1)$$

$$Assignment \\ p_{1}, p_{2}, \neg p_{3}, p_{4} \equiv (y < 1)$$

$$X \ge 0, y = x + 1, \\ \neg (y > 2), y < 1$$

$$Unsatisfiable \\ x \ge 0, y = x + 1, y < 1$$

$$Theory \\ Solver$$



#### **Basic Idea**

$$x \ge 0$$
,  $y = x + 1$ ,  $(y > 2 \lor y < 1)$ 
Abstract (aka "naming" atoms)

$$p_1, p_2, (p_3 \lor p_4)$$
  $p_1 \equiv (x \ge 0), p_2 \equiv (y = x + 1),$   $p_3 \equiv (y > 2), p_4 \equiv (y < 1)$ 

SAT Solver



Assignment 
$$p_1, p_2, \neg p_3, \not$$

 $x \ge 0, y = x + 1,$ 

$$\neg$$
(y > 2), y < 1





Unsatisfiable

$$x \ge 0, y = x + 1, y < 1$$

Theory Solver

