An Overview of Substrate Noise Reduction Techniques

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Abstract

This paper provides an overview of the recent circuit level and physical level substrate noise reduction techniques. Several of these techniques are compared for their advantages and disadvantages in "System-on-Chip" applications.

1. Introduction

As "System-on-Chip" designs are becoming popular, the substrate noise topic has attracted much attention in the past [2-5,8,17-19]. Even today, a significant research effort is devoted to mitigate the impact of mostly digitally generated substrate noise on sensitive mixed-signal circuits [1,7,9-16].

In mixed-signal circuits, complex and noisy digital circuits are integrated on the same substrate with noise-sensitive analog circuits. In fact, with heavily integrated mixed signal ICs becoming ever so common, it is possible for the noiseinduced currents injected into the common substrate, to result in functional failures of the analog and digital blocks.

From designers' perspective, one would like to find circuit and physical level techniques to protect sensitive circuits from substrate noise effects. In this article, we have divided substrate noise reduction techniques into (i) circuit, and (ii) physical levels. Rest of the paper is organized as follows, in the following section, an overview of circuit techniques is provided. Section 3 is devoted to physical level considerations to reduce the impact of substrate noise. In Section 4, conclusions are drawn.

2. Circuit Level Consideration

A wide variety of circuit techniques are available to (i) reduce the occurrence of the substrate noise, (ii) design circuits that have higher degree of immunity to substrate noise, and (iii) cancel the generated substrate noise.

2. 1. Differential versus Single-Ended Circuits

Differential circuits are often preferred to single-ended circuits in noisy environments. In differential circuits, the substrate noise appears as a common-mode signal on the differential output. Therefore, the impact of substrate noise in differential output is several orders of magnitude smaller than single ended output.

It is often difficult to measure the substrate noise directly. Hence, its severity is often determined through its impact on various circuit parameters. For example, in *phase-locked loops* (PLLs) the impact of substrate noise generated in *voltage-controlled oscillator* (VCO) manifests as the jitter at the output of the PLL [1]. In this study, authors investigated single and differential ring oscillators, shown in Fig.1, for their substrate noise sensitivities.



Fig.1: (a) Single-ended Ring Oscillator, (b): Differential Ring Oscillator

Fig.2 shows cycle jitter and cycle-to-cycle jitter of (a) the single-ended ring oscillator and (b) the differential ring oscillator [1]. The single-ended ring oscillator has approximately 10x higher jitter compared to differential ring oscillator caused by the substrate noise.



Fig.3: Jitter of the 3-stage and the 6-stage of the Differential Ring Oscillator

Similarly, Fig.3 depicts the jitter of three-stage and six-stage oscillator designed for a frequency of 500MHz with constant tail current and voltage swings. We observe that the minimum values of cycle jitter and cycle-to-cycle jitter are



smaller in a three-stage topology. This is because for the three-stage oscillator, the reduction of the oscillation frequency to the desired value is obtained by means of the fixed load capacitance rather than by the voltage-dependent capacitances of the transistors. Hence, a smaller fraction of the total load capacitance is subject to variations with substrate noise [1].

2.2. Low-Noise Logic

In mixed-mode integrated circuits, the substrate noise generated in the digital section affects the performance of the analog section. An important source of substrate noise is the supply current spikes during logic transitions. To avoid this phenomenon, the low noise logic in different fashions has been studied in [2-7] where they try to reduce current spikes by reducing the output swing or keeping supply current constant during switching.

2.2.1. Source-Coupled Logic

One possible low-noise digital circuit technique is fully differential CMOS source-coupled logic (SCL), which is indicative of bipolar emitter-coupled logic (ECL) [8]. An SCL inverter (Fig.4) comprises two gain stages: The input stage is a current-steering NMOS source-coupled pair biased with constant current and loaded by PMOS diode-connected devices; the output stages are NMOS source-follower circuits each biased with another constant current [2].

Eq.1 shows the output swing in this configuration [2].



Fig.4: A CMOS Source Coupled Logic Inverter



Fig.5: FSCL Inverter With PMOS Diode-Connected Load

2.2.2. Folded Source-Coupled Logic

A fully differential folded source-coupled logic (FSCL) inverter is shown in Fig.5. It resembles the folded-cascode operational amplifier. In FSCL as in SCL, circuit operation is based on the principle of current steering under the control of a fully differential input voltage. The output swing of an FSCL inverter is shown in Eq.2 [2].

$$\Delta V_{out} = \left[\frac{2(I_2 - I_1)}{k_p'(W_L)_p}\right]^{1/2}$$
(Eq.2)

2.2.3. Current Steering Logic

Extracting a differential-mode half circuit from the FSCL inverter of Fig.5 results in a CMOS current steering logic (CSL) inverter [3]. Fig.6 shows a CSL logic inverter. The output logic voltage swing, ΔV , of CSL is

$$\Delta V \approx V_T + \left[\frac{2I_1}{k'_n (W_L)_n}\right] \tag{Eq.3}$$

where V_T is the transistor threshold voltage [4].



Fig.6: CSL Inverter With Ideal Source

2.2.4. Current Balanced Logic

Current Balanced Logic (CBL) has been introduced in different styles in [5], [6], [7]. Fig.7 shows a simple CBL inverter cell. CBL is a low noise logic circuit and has, ideally, constant supply current switching [5]. Also a а complementary-CBL (C-CBL) inverter is shown in Fig.8, which employs constant supply current switching and differential output instead of single-ended in normal CBL [7]. 2.2.5 Comparison

A comparative study of substrate noise in CMOS and lownoise logic cells was done in [9]. Fig.9 shows substrate noise in different implementations. In smaller circuits power supply noise is insignificant, hence performance of CSL and CBL over CMOS is marginal. On the other hand, C-CBL leads to substantial noise reduction [9].





2.3. Reduced Supply Bounce CMOS Circuit

Circuit techniques can be employed to reduce the digitally generated supply noise affecting larger segment of Vdd. One such implementation, *reduced supply bounce* (RSB) CMOS logic (Fig.10) was proposed in [10].

Pairs of decoupling capacitors (C_d) and a series resistor (R_d) formed by a linear region MOSFET (M_d) are provided locally for VDD/GND paths in every digital block necessary to reduce noise. Dedicated ground wiring is desirable for C_d , but not absolutely necessary. C_d 's serve as local charge reservoirs covering the flat logic transitions within the block and are recharged continuously by the external power supplies with time constants to the degree of $R_d \times C_d$. Thus reduced and decoupled supply bounce causes flattened supply current [11].



Fig.10: RSB-CMOS Circuit Configuration [11].

The efficiency of the RSB-CMOS circuits was demonstrated in [10]. Fig.11 illustrates a comparison between RSB-CMOS with conventional CMOS. From the figure, we can conclude an RSB-CMOS circuit results in more than 90% substrate noise reduction over that of a conventional CMOS.



Fig.11: Substrate Noise Waveforms by Test Circuit [10].

2.4. Active Substrate Noise Reduction Method

In [12], the substrate noise reduction was approached using an active method. The approach is to sample the noise at the noise receiver section (analog block) of the mixed-signal design, and then direct this noise into the input stage of a negative feedback loop. After reversing its phase, the noise is re-injected into the substrate. Having the opposite phase of the original noise, the re-injected noise can cancel up to 83% of the noise travelling inside the substrate. Fig.12 shows the circuit model for simulation of the substrate coupling noise with a negative feed back loop, which is realised by a single op-amp.

Fig.13 shows the reduction of the digital noise in frequency variation. The data in this figure depict that the measured noise levels were higher (38%) than the simulated values [12].



Fig.13: Peak-to-Peak Noise Versus Switching Frequency

2.5. Pin Swapping Method

Pin swapping is one of the circuit level methods for noise reduction. This technique optimizes switching noise while maintaining operation speed, power consumption and transistor count. To measure switching noise, monitoring the maximum peak value of dynamic current provided by supply source have been used in [13]. Circuit level simulations of multiple-input gates show that noise generated by switching inputs depends on the specific input considered, due to asymmetry in the implementation of gates (input capacitance, substrate effect, layout, etc). Table.1 shows simulation result for a three-input NAND gate. (Fig.14)



Transition in:	a (bc=11)	b (ac=11)	c (ab=11)
z rises	271.7µA	314.5µA	339.7µA
z falls	293.0µA	293.2µA	299.5µA

Table.1: Supply Current Peak during Transition with Respect to Inputs

Simulated results demonstrate that input transition at *a* is less noisy than transitions at other inputs. Schematic is first analyzed to obtain noisy nodes. Such information is used for pin swapping optimization. Logic simulation can be carried out to obtain information about simultaneous switching activity in nodes, since simultaneous transitions constitute the main contribution to substrate noise. The analysis of the obtained information is used to detect the situations, through



checking the nodes with higher activity and mapping such nodes to the less noisy pins in the library cells. It follows that in the optimization process brings in a final optimized schematic for low noise generation [13].

2.6. Supply Current Shaping Method

Supply current waveform shaping is a noise reduction technique based on avoiding large current peaks on the supply lines, e.g., by spreading (otherwise simultaneous) switching events in time or reducing the supply voltage. As a result the frequency spectrum of the supply current is modified as shown in Fig.16: (i) the total spectral power is reduced and (ii) the corner frequency is moved to lower frequencies [14].



Fig.16: (a) Simulated supply current transients with the same time-domain energy and (b) Resulting substrate noise transients

Reducing the total spectral power of the supply current also reduces the generated substrate noise. The rms value of the substrate noise is proportional to the integral of the power spectrum [14]. Moving the corner frequency of the supply current spectrum below the major resonance frequency in the supply current transfer function will reduce the substrate noise generation significantly since most of the noise power is a result of this resonant behavior [14]. Fig17 shows comparison between normal circuit and clock shaped circuit.



Fig.17: Simulated supply current waveform

3. Physical Level Considerations

In this section we describe layout and other physical techniques to reduce the effect of substrate noise.

3.1. Single Guard Ring

Guard rings and substrate taps are often used to reduce substrate noise. The layout of a typical guard ring is shown in Fig.18. The ring is a surface-region heavily doped with the majority-carrier dopant and is intended to form a Faraday shield around any sensitive device needs to be protected against substrate noise. Guard ring provides the lower impedance path to ground compare to other paths for substrate noise.





Often *Isolation* (Is) between contacts is defined as the ratio of the voltage swing on the receiver contact to the voltage swing on the injector contact. Simulations were carried out in [15] show variation of *Is* versus distance between guard ring and injector contact. Fig.19 shows example layout and results of simulations are shown in Fig.20. Similarly, Fig.22 shows effect of the guard ring width on isolation when δ equals to 50µm and 100µm [15].



Fig.20: Simulation Result of Guard Ring Example

3.2. Dual Guard Rings

The effect of one guard ring placed around the injector or the receiver was discussed in previous section. It is also possible to place guard rings around both injector and receiver contacts. The *Is* between two single-ended contacts, with a guard ring placed around each contact is examined in

[15]. Fig.21 shows layout of the dual guard ring method and comparison between dual ring and single ring is shown in Table.2 [15].



Fig.21: Dual Guard Ring Layout





Fig.22: Simulation Result of Guard Ring Example $\delta = 50 \mu m$ and $\delta = 100 \mu m$

Method	Frequency	Isolation
Without Guard Ring	100MHz / 1GHz	-62dB / -34dB
Single Guard Ring	100MHz / 1GHz	-99dB / -45dB
Dual Guard Ring	100MHz / 1GHz	-130dB/ -57dB

Table.2: Comparison between Single Guard Ring and Dual Guard Ring

3.3. Buried Substrate Shields

The buried substrate shields can be categorized in three major types:

3.3.1. Faraday Shield

A highly conductive layer under the switching devices may provide a low-impedance path to ground for the substrate noise. However, if used improperly, this low-impedance path may also cause noise coupling between neighbouring devices [16]. Fig.23 shows layout and cross-section of this method. An experiment done in [16] shows the effectiveness of this method. Results of the test structure are presented in Table.3.



Fig.23: Faraday Shield Layout and Cross-Section

Method	With deep contacts	Without deep contacts
Without buried layers	N/A	$248 \mathrm{mV}_{\mathrm{pp}}$
Buried layers only under the digital section	$105 \mathrm{mV}_{\mathrm{pp}}$	183mV _{pp}
Buried layer only under the analog section	$75 \mathrm{mV}_{\mathrm{pp}}$	$232 m V_{pp}$
Buried layer under all the circuitry	26mV _{pp}	$165 \mathrm{mV}_{\mathrm{pp}}$

Table.3: Comparison of the Different Implementations of Buried Layer

3.3.2. Dielectric Shield

Dielectric isolation is used in this method to isolate the nodes from substrate. This approach physically increases the impedance between the injector and the receiver by increasing the resistivity of the substrate that surrounds either of the two nodes. This method is implemented in *Silicon-On*- *Insulator* (SOI). In these substrates, bulk silicon is isolated from the thin active surface silicon layer, by means of buried oxide layer. The layout and cross-section of this method is shown in Fig.24. This method provides very good isolation, but it adds to processing costs, since it requires the use of special silicon substrate [15].



Fig.24: Junction Shield Layout and Cross-Section

3.3.3. Junction Shield

In this method a buried minority-type of carrier enclosure around the device plays the role of an isolator. Fig.25 shows cross-section and layout of junction shield. Comparison between junction shield and dielectric shield is pointed out in Table.4 and showed in Fig.26 [17].



Fig.25: Junction Shield Layout and Cross-Section

Frequency	Dielectric (SOI) Shield	Junction Shield
100 MHz	-66 dB	-55 dB
200 MHz	-54 dB	-51 dB
400 MHz	-48 dB	-46 dB
700 MHz	-49 dB	-41 dB
1000 MHz	-48 dB	-37 dB

Table.4: Comparison between Junction Shield and Dielectric Shield

3.4. Forward-Biased Guard Ring

A study has verified that by creating a band-pass filter, using the inductance of the bond wire and the capacitance of a forward biased diode, the substrate noise can be reduced [18]. Forward-Biased guard ring is shown in Fig.27, where the n+ guard ring is connected to the V_{bias}, which is a negative voltage, through a large resistor. This forward-biased n+pjunction with constant current creates a charge storage region in the diode resulting in a capacitance in the Pico Farad range. These components form a band-pass filter, the resonant-frequency of which depends on diode current and is given by Eq.1:

$$\omega_0 = \frac{1}{\sqrt{L\tau J/25\Omega}} \tag{Eq.1}$$

where L is inductance of the bound wire, τ is the transit time and I is diode current [18]. Fig.28 shows substrate noise voltage in two different circuits (a) circuit with forwardbiased and (b) circuit without guard ring [19].





Fig.26: Simulation Result of Comparison between Junction Shield and Dielectric Shield Done by Medici



Fig.27: Model of Forward-Biased Guard Ring



Fig.28: Effectiveness of Forward-Biased Guard Circuit

4. Conclusion

Integration of digital and analog building blocks on the same substrate has resulted in undesirable levels of substrate noise in contemporary "System-on-Chip" devices. Different injection and reception mechanisms caused by a variety of effects may induce noisy currents into the substrate. These effects can be modeled and verified experimentally by researchers. The complexity and precision of the model depends on the application or the employed circuit. A class of the techniques in this regard, takes into account circuit design considerations to reduce the occurrence of substrate noise in the system, while other techniques try to stop or eliminate the noise propagation through physical level techniques. Alternatively, active noise cancellation/filtering techniques can be employed to reduce the impact of substrate noise on sensitive mixed-signal blocks.

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