

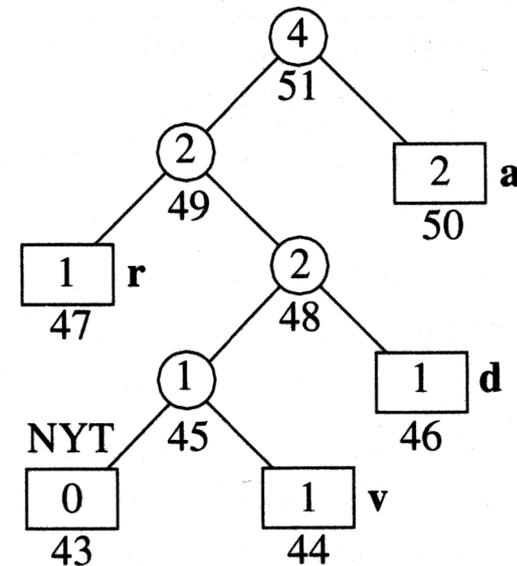
Binary Trees



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These slides, the course material, and course web site are based on work by Douglas W. Harder

Binary Trees

Standard reminder to set phones to
silent/vibrate mode, please!



Binary Trees

- Today's class:
 - We'll look at binary trees — definition and some properties and related concepts.
 - Talk about its implementation.
 - Look at the notions of perfect and complete binary trees.
 - Implementing it with array storage.

Binary Trees

- The definition of a binary tree is quite straightforward:
 - A tree with the structure constrained such that each node has exactly two children.

Binary Trees

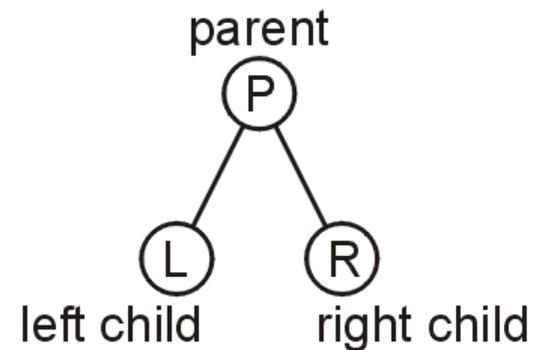
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 - Each child is either empty or another binary tree.

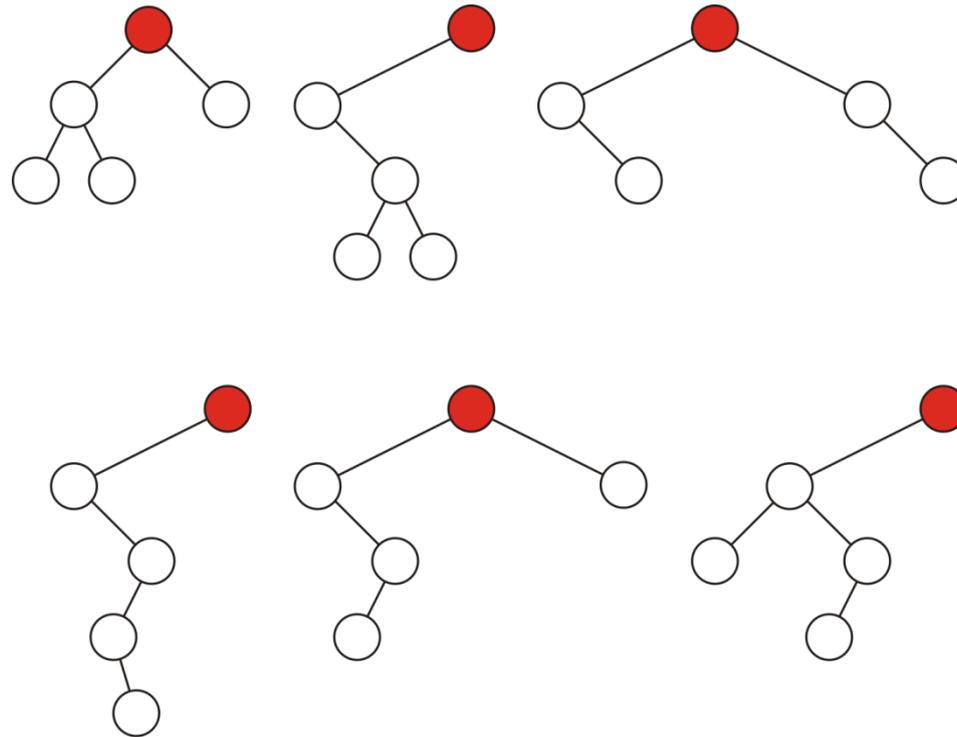
Binary Trees

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 - A tree with the structure constrained such that each node has exactly two children.
 - Notice, *exactly* two children — not up to two children!
 - Each child is either empty or another binary tree.
 - Given this constraint, we can label the two children as *left* and *right* nodes or subtrees.



Binary Trees

- Examples of binary trees with five nodes:

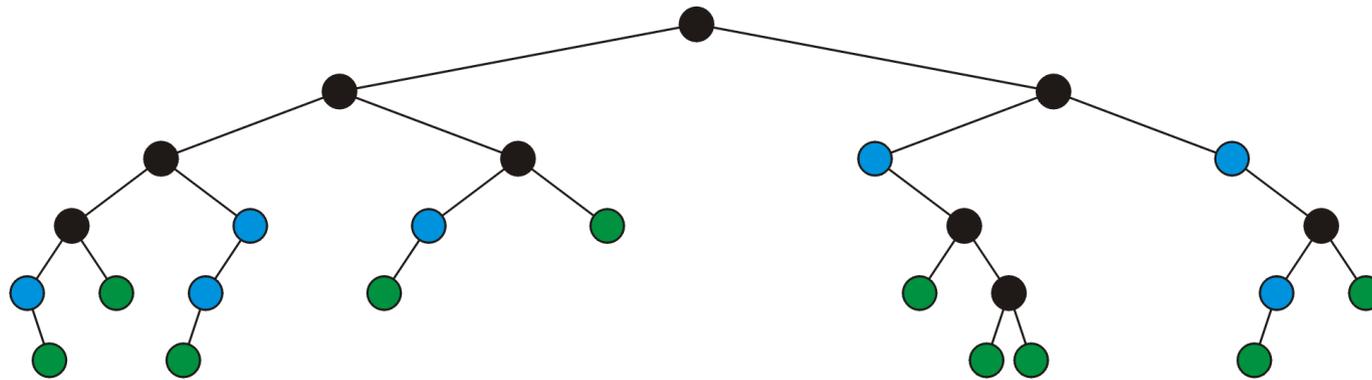


Binary Trees

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Binary Trees

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■ Full nodes

■ Leaf nodes

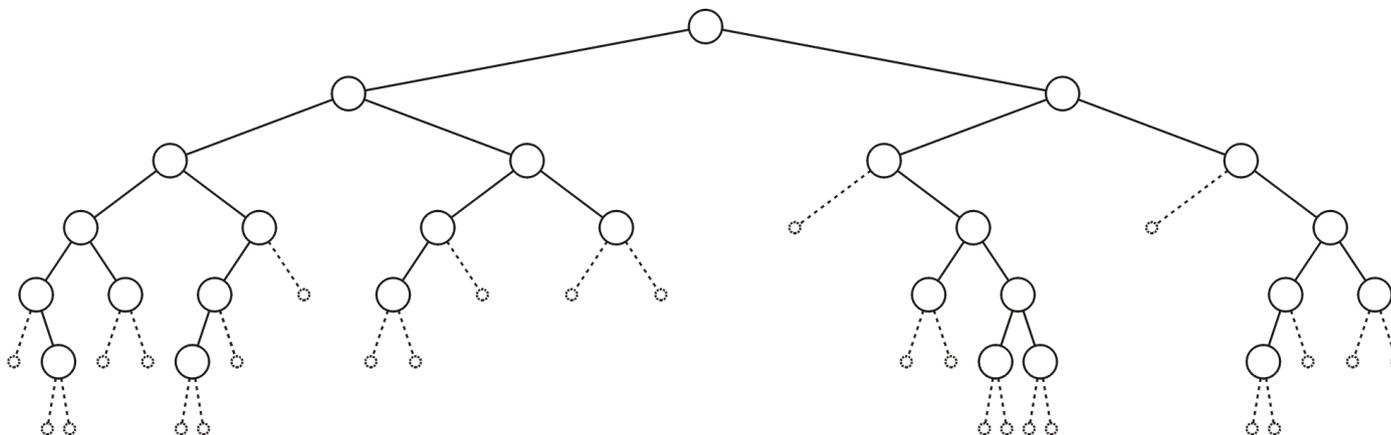
■ Neither

Binary Trees

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Binary Trees

- Definition: An *empty node* or *null sub-tree* is a location where a new leaf node (or a sub-tree) could be inserted.
 - Graphically, the missing branches.



Binary Trees

- Definition: *A full binary tree* is a binary tree where each node is either a full node or a leaf node.

Binary Trees

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 - Clearly, since these are specific (constrained) types of trees, we could use a normal implementation of a tree, and ensure that the constraints are always applied.
 - Not a very interesting approach! (*why?*)

Binary Trees

- Implementing binary trees...
 - A better approach is, of course, having two named pointers (as in, two data members), **left** and **right** (well, or **d_left**, **d_right**, or whatever naming convention for data members).

Binary Trees

- Implementing binary trees...
 - A better approach is, of course, having two named pointers (as in, two data members), **left** and **right** (well, or **d_left**, **d_right**, or whatever naming convention for data members).
 - If a child node is absent (i.e., an empty node or null sub-tree), we represent it with a null pointer in the corresponding child (left or right).

Binary Trees

```
template <typename Type>
class Binary_node
{
    Type d_element;
    Binary_node<Type> * d_parent;
    Binary_node<Type> * d_left;
    Binary_node<Type> * d_right;

public:
    Binary_node (const Type & obj);

    Type retrieve() const;
    Node<Type> * left() const;
    Node<Type> * right() const;

    // etc.
};
```

Binary Trees

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 - And since each of the two pointers has its own name, we have to explicitly check them (as in, *individually!*)

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 - The code for traversal (e.g., recursive functions or recursive node's methods) can become a bit more “verbose” than in the case of general trees.
 - If a pointer to a child node is null, we're not allowed to dereference it.
 - And since each of the two pointers has its own name, we have to explicitly check them (as in, *individually!*)
 - For example, here's what a recursive size() method could look like...

Binary Trees

```
template <typename Type>
int Binary_node<Type>::size() const
{
    int count = 1;    // this one
    if (left() != NULL)
    {
        count += left()->size();
    }

    if (right() != NULL)
    {
        count += right()->size();
    }

    return count;
}
```

Binary Trees

- In this particular example, a recursive function (*standalone* function, as opposed to a method) would be simpler with respect to that detail....

Binary Trees

```
template <typename Type>
int size (const Binary_node<Type> * node)
{
    if (node == NULL)
    {
        return 0;
    }

    return 1 + size(node->left()) + size(node->right());
}
```

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```

Why, then, if we can get away with it this way we can't do the same with the method approach?

Binary Trees

- Let's look at *perfect* binary trees...

Binary Trees

- A *perfect binary tree* of height h is a binary tree where:
 - All leaf nodes have the same depth h .
 - All other nodes are full.

Binary Trees

- Or a nice recursive definition:
 - A binary tree of height 0 is perfect.
 - A binary tree with height $h > 0$ is perfect if both sub-trees are perfect binary trees of height $h-1$.

Binary Trees

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- From this definition, we can prove, for example, that a perfect binary tree of height h has $2^{h+1}-1$ nodes.
- We'll proceed by induction on h . So, we have to prove that: (1) The statement is true for $h = 0$; and (2) that if the statement is true for h , that implies that it is also true for $h+1$

Binary Trees

- You do it for now (we'll show and discuss the proof during class)

Binary Trees

- As a direct consequence of this, the height of a perfect binary tree of n nodes is $\Theta(\log n)$:

$$n = 2^{h+1} - 1 \Rightarrow h = \lg(n+1) - 1 = \Theta(\log n)$$

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$$n = 2^{h+1} - 1 \Rightarrow h = \lg(n+1) - 1 = \Theta(\log n)$$

- This is interesting — many operations with trees have a run time that goes with the depth of some path within the tree; if we have a perfect tree (or something *close* to it), we know that those operations run in $O(\log n)$.

Binary Trees

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- Now, what could be *close* to a perfect binary tree?
 - One of the limitations with perfect binary trees is that the number of nodes is always $n = 2^k - 1$.
 - It would be nice to have something similar, but defined for all values of n .

Binary Trees

- Definition (informal): *A complete* binary tree is a binary tree that is filled at each depth from left to right (sort of filled in the same order as a breadth-first traversal).
 - That is, we are not allowed insertions at arbitrary positions!

Binary Trees

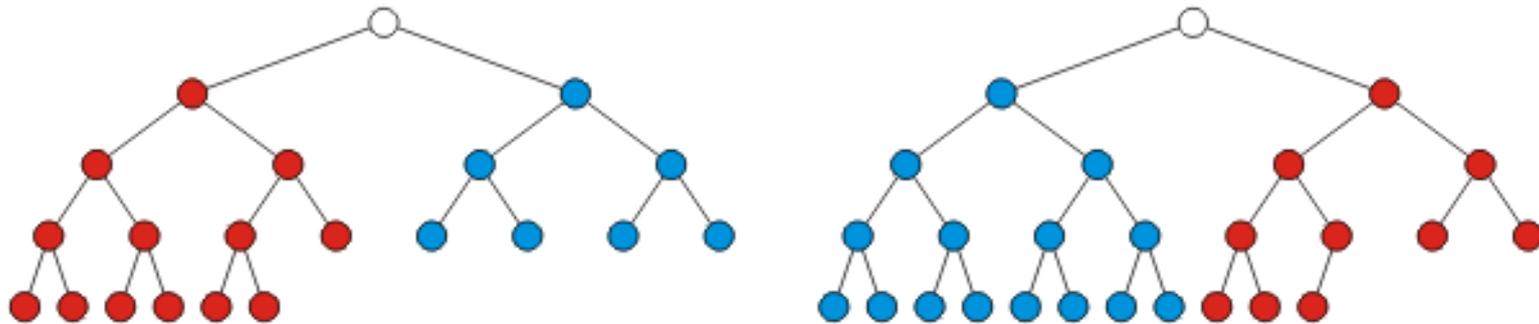
- Definition (informal): *A complete* binary tree is a binary tree that is filled at each depth from left to right (sort of filled in the same order as a breadth-first traversal).
 - That is, we are not allowed insertions at arbitrary positions!
 - Also, removals are only allowed from the “last” position.

Binary Trees

- Definition – recursive:
 - A binary tree with height 0 is a *complete* binary tree.
 - A complete binary tree of height $h > 0$ is a binary tree where either:
 - The left sub-tree is a complete tree of height $h-1$ and the right sub-tree is a perfect tree of height $h-2$, or
 - The left sub-tree is a perfect tree of height $h-1$ and the right sub-tree is a complete tree of height $h-1$.

Binary Trees

- Graphically:

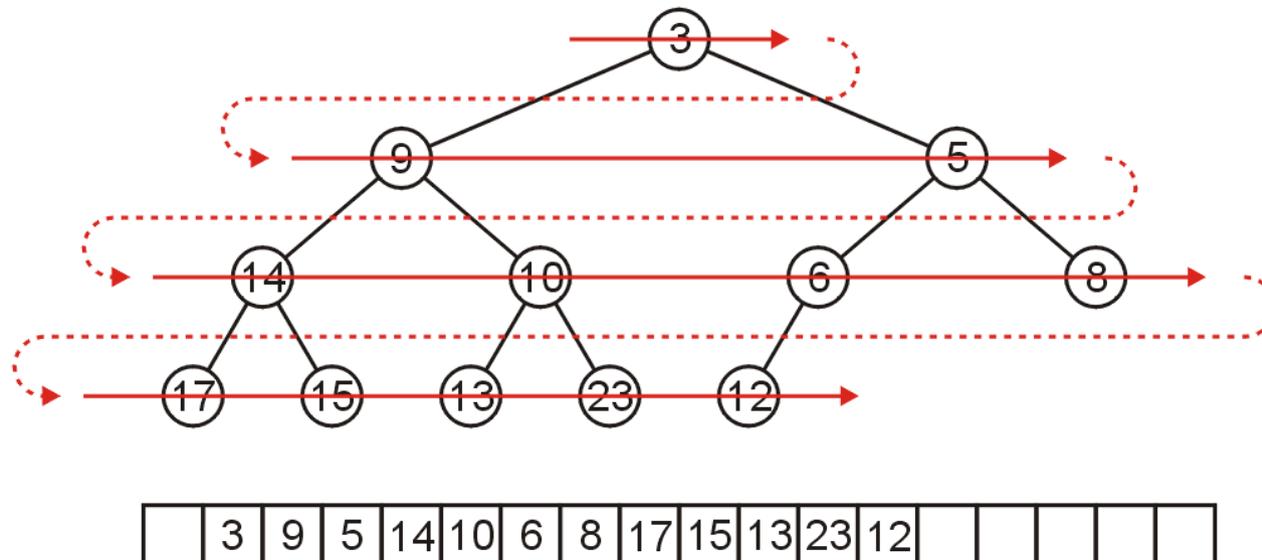


Binary Trees

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- The *very* interesting aspect of a complete binary tree is that we can efficiently store it using an array!
- We traverse the tree in breadth-first order, placing the entries into the array



Binary Trees

- We notice that insertions and removals can only be done at the end of the array (not a bad thing — quite the contrary, if we think about it!)

Binary Trees

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 - The problem would be: how do we efficiently access the nodes? (e.g., given a node, how do we access its children? Its parent? etc.)
 - With binary trees, the “fixed” structure of each node having exactly two children yields a nice and simple formula to relate these!
 - At each depth, there are twice as many nodes as in the previous depth!
 - Can you see the rest of where this is going?

Binary Trees

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- Again, we could ask: why can't we do this with any binary tree? (we agree that with a general tree, efficient access to children and parent is a problem). But any binary tree does have the structure to facilitate this.
 - Can you see where this is going?

Binary Trees

- The worst-case storage requirement for storing an arbitrary binary tree of n nodes is $\Theta(2^n)$
 - Worst-case happens if the elements form a linear arrangement (i.e., every node has only one child)

Summary

- During today's lesson, we discussed:
 - Binary trees
 - Definition
 - Some of its properties and related concepts
 - Discussed some aspects of their implementation
 - Perfect binary trees
 - Complete binary trees
 - Implementing them in terms of an array!