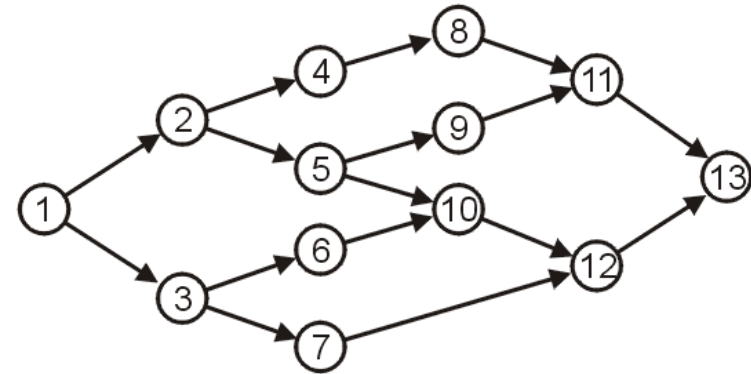


# Topological sort



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These slides, the course material, and course web site are based on work by Douglas W. Harder

# Topological sort

Standard reminder to set phones to  
silent/vibrate mode, please!



# Topological sort

- During today's class, we will:
  - Look at Topological sort, a common and useful operation with Directed Acyclic Graphs (DAGs)
  - Discuss an algorithm to implement this operation.
  - Briefly talk about some of its applications.

# Topological sort

- The basic idea of a topological sort is the following:
  - Given a DAG, in which we could see adjacencies as representing a pre-requisite task, we want to place the vertices in sequence.
  - The sequence must be one in which all dependencies on pre-requisites are satisfied.
  - Such a sequential arrangement of the vertices is called a *topological sort* of the DAG.

# Topological sort

- A simple and obvious application is:
  - We have a DAG where vertices are tasks that we want to perform (e.g., part of a compiler's code generation subsystem).
  - We need to execute all the tasks, but we can only do one at a time.
  - A topological sort gives a valid sequence of executed tasks — no task can proceed until all pre-requisite tasks have completed.

# Topological sort

- Formally, we would define a topological sort as follows:
  - Let  $G = (V, E)$  be a DAG, with  $V = \{v_1, v_2, \dots, v_{n-1}, v_n\}$

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  - Let  $G = (V, E)$  be a DAG, with  $V = \{v_1, v_2, \dots, v_{n-1}, v_n\}$
  - Then, a topological sort is a sequence containing all the elements in  $V$ ,  $\{v_{k_1}, v_{k_2}, \dots, v_{k_{n-1}}, v_{k_n}\}$  such that for all  $i, j$  ( $0 \leq i, j \leq n$ ), if there exists a path from  $v_{k_i}$  to  $v_{k_j}$ , then  $i < j$ .

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    - Simply put, if there is a path from vertex  $v$  to vertex  $w$ , then  $v$  appears before  $w$  in the output sequence.



# Topological sort

- Question: why is the notion specific to DAGs?

# Topological sort

- In fact, let's look at (and prove) this interesting fact:
  - A directed graph is a DAG if and only if it has a topological sort.

# Topological sort

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  - A directed graph is a DAG if and only if it has a topological sort.
  - Proof:

We observe that there are two independent statements to prove:

    - A DAG has a topological sort
    - If a directed graph has a topological sort, then it is a DAG

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  - Proof:

We observe that there are two independent statements to prove:

    - A DAG has a topological sort
    - If a directed graph has a topological sort, then it is a DAG
  - (this is a normal aspect of if-and-only-if statements; proving them is really proving two statements)

# Topological sort

- Let's start with the easy one:
  - If a graph has a topological sort, then it is a DAG.
  - Proof: (by contrapositive — that is, we prove the statement “if a graph is not a DAG, it can not have a topological sort”)

Can you complete this part of the proof? (we'll look at it in class, of course!)

# Topological sort

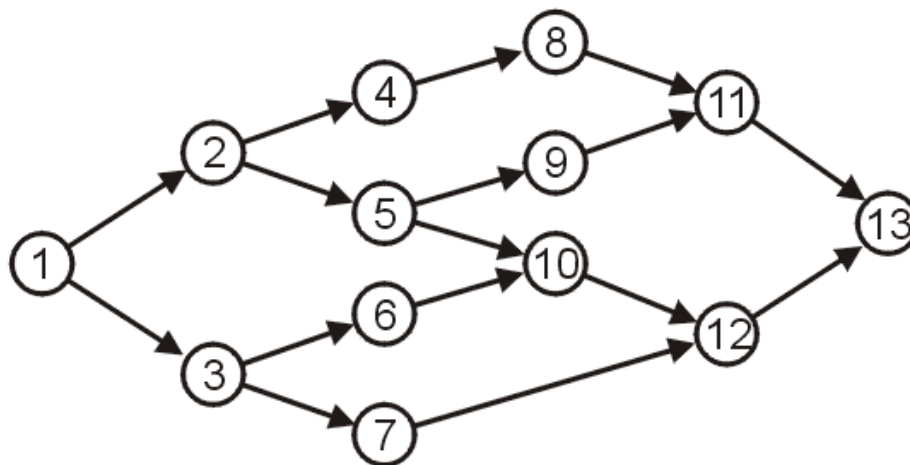
- A somewhat more “obvious” observation:
  - A topological sort is not necessarily unique.  
(yes?)

# Topological sort

- Next, let's look at an algorithm to obtain a topological sort given a DAG.
  - The idea is that any vertex with in-degree 0 can be the first one in a topological sort.
  - We can look at it as follows: each time that we output one of those in-degree 0 vertices, we remove it from the graph.
    - That would in turn lead to creating additional vertices with in-degree 0, which we can now output.

# Topological sort

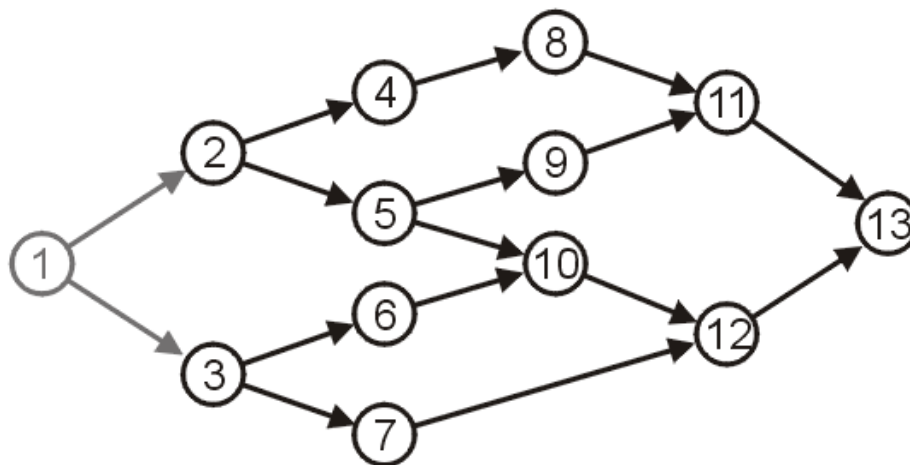
- An example:
  - There's only one vertex with in-degree 0 (vertex 1), so we start with that one (and think of it as removed from the graph):





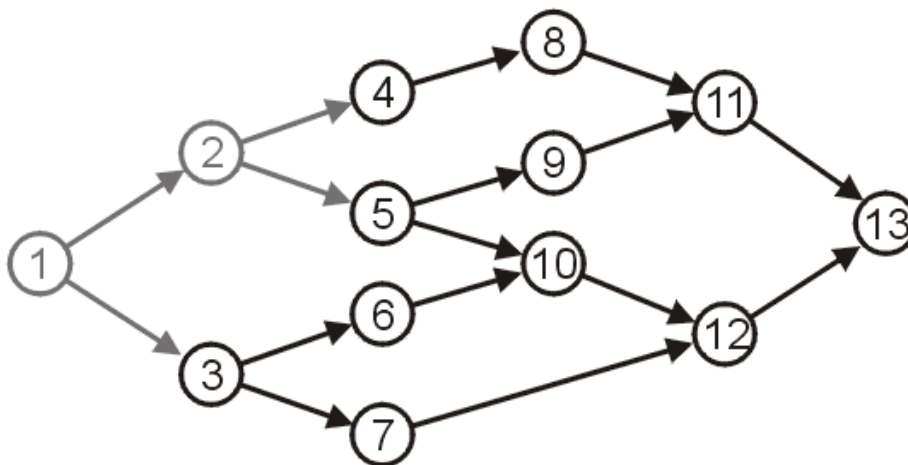
# Topological sort

- An example:
  - As we “remove” 1, now 2 and 3 have in-degree 0, so the topological sort could continue with either one of these — we'll choose 2:



# Topological sort

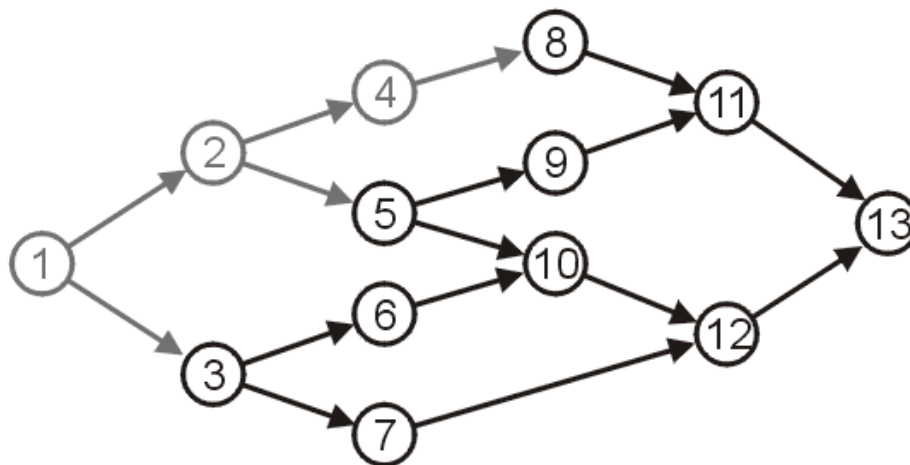
- An example:
  - As we “remove” 1, now 2 and 3 have in-degree 0, so the topological sort could continue with either one of these — we'll choose 2:



1, 2

# Topological sort

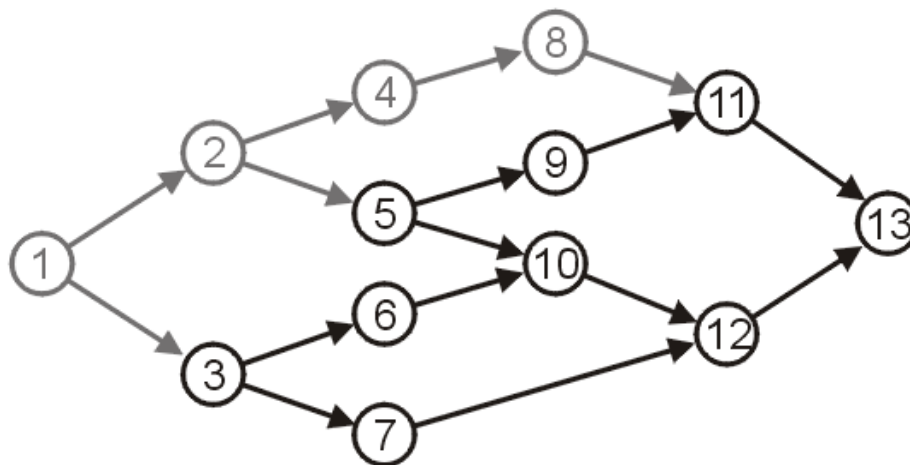
- An example:
  - As we “remove” 1, now 2 and 3 have in-degree 0, so the topological sort could continue with either one of these — we'll choose 2:



1, 2, 4

# Topological sort

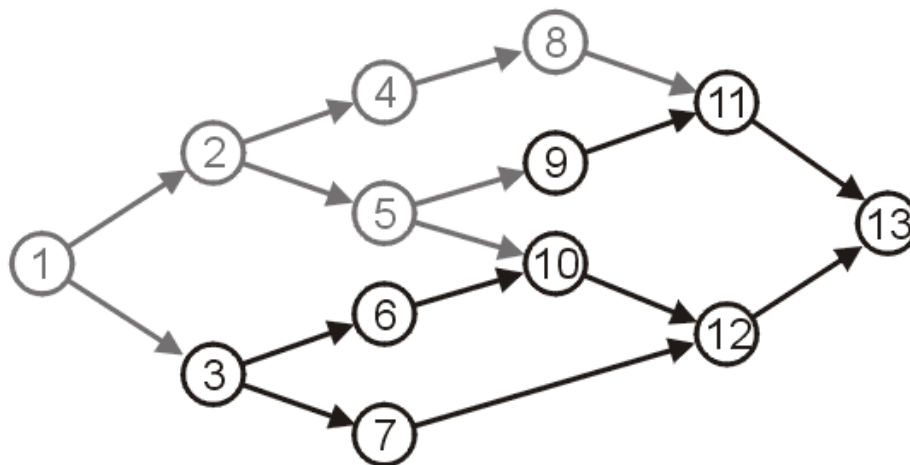
- An example:
  - As we “remove” 1, now 2 and 3 have in-degree 0, so the topological sort could continue with either one of these — we'll choose 2:



1, 2, 4, 8

# Topological sort

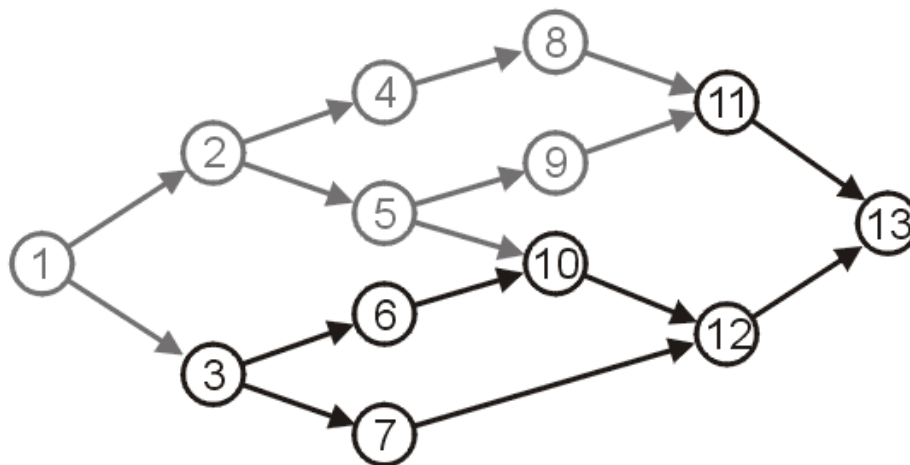
- An example:
  - As we “remove” 1, now 2 and 3 have in-degree 0, so the topological sort could continue with either one of these — we'll choose 2:



1, 2, 4, 8, 5

# Topological sort

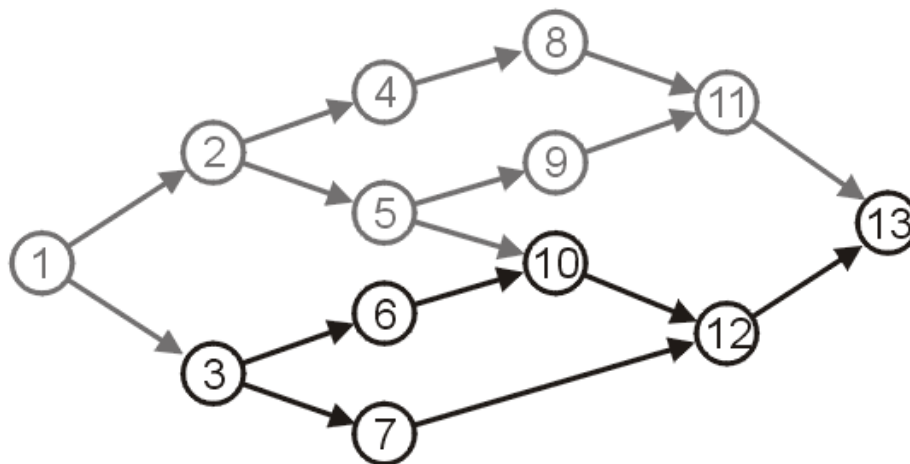
- An example:
  - As we “remove” 1, now 2 and 3 have in-degree 0, so the topological sort could continue with either one of these — we'll choose 2:



1, 2, 4, 8, 5, 9

# Topological sort

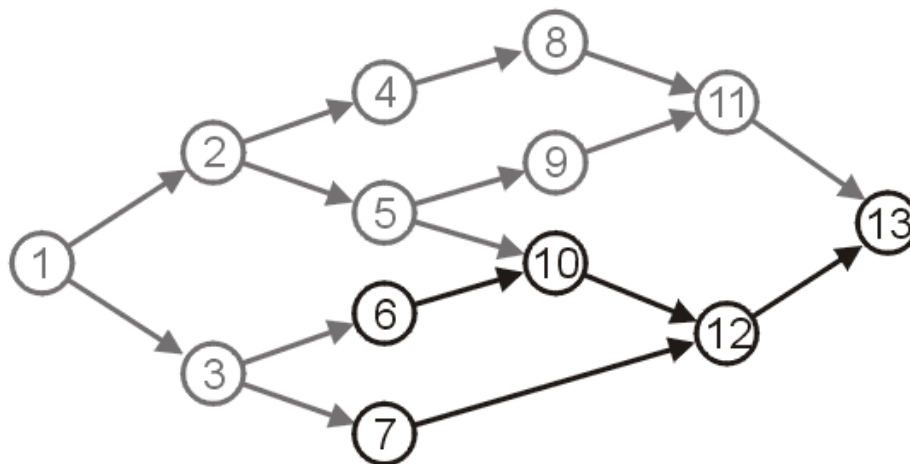
- An example:
  - As we “remove” 1, now 2 and 3 have in-degree 0, so the topological sort could continue with either one of these — we'll choose 2:



1, 2, 4, 8, 5, 9, 11

# Topological sort

- An example:
  - As we “remove” 1, now 2 and 3 have in-degree 0, so the topological sort could continue with either one of these — we'll choose 2:

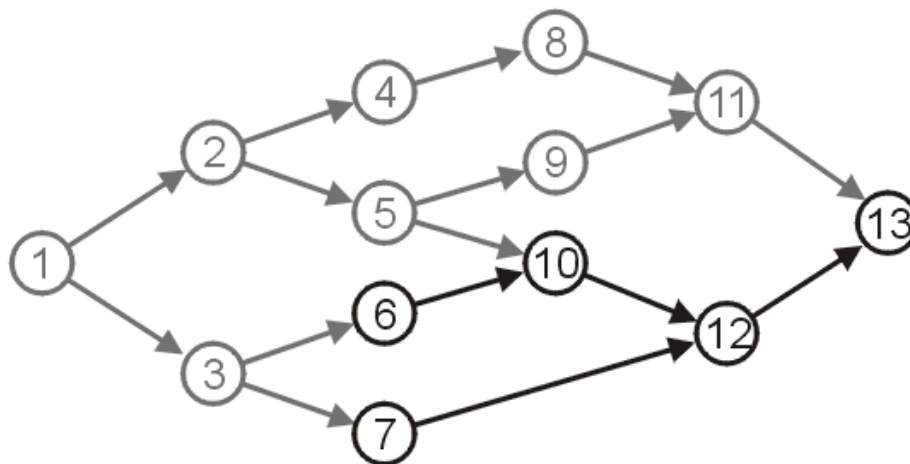


1, 2, 4, 8, 5, 9, 11, 3



# Topological sort

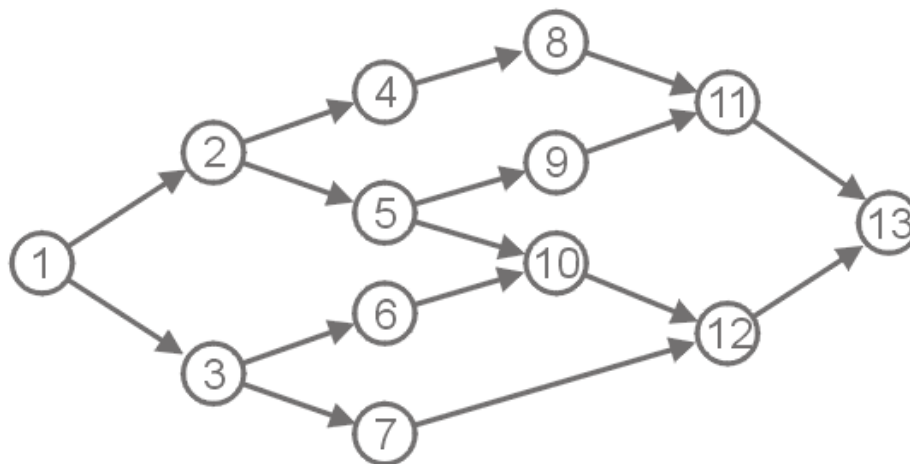
- An example:
  - As we “remove” 1, now 2 and 3 have in-degree 0, so the topological sort could continue with either one of these — we'll choose 2:



1, 2, 4, 8, 5, 9, 11, 3 ... etc.

# Topological sort

- An example:
  - As we “remove” 1, now 2 and 3 have in-degree 0, so the topological sort could continue with either one of these — we'll choose 2:



1, 2, 4, 8, 5, 9, 11, 3, 6, 10, 7, 12, 13

# Topological sort

- The fact that a topological sort is not unique should have become quite clear from this example — anyone?

# Topological sort

- A typical implementation uses an array of in-degrees, and optionally a queue (for efficiency)

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# Topological sort

- A typical implementation uses an array of in-degrees, and optionally a queue (for efficiency)
  - We start by initializing the table of in-degrees (how do we do this efficiently? A single pass through the list of vertices, perhaps?)
    - And BTW, why the big deal with doing this efficiently?? How would we do it inefficiently?

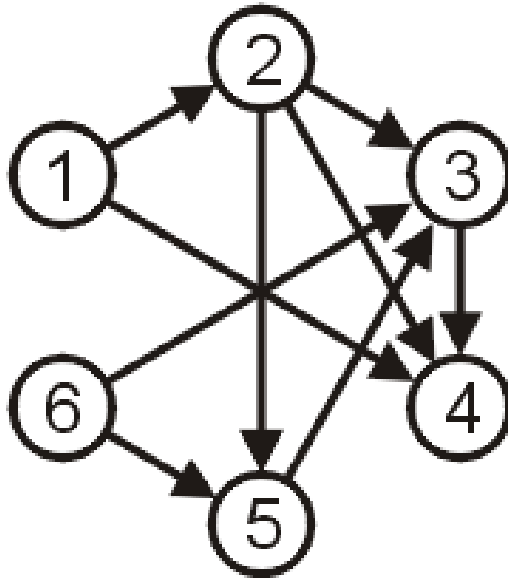
# Topological sort

- Let's look at an example, directly from Prof. Harder's slides.

## Topological Sort

# Example

Consider the following DAG with six vertices

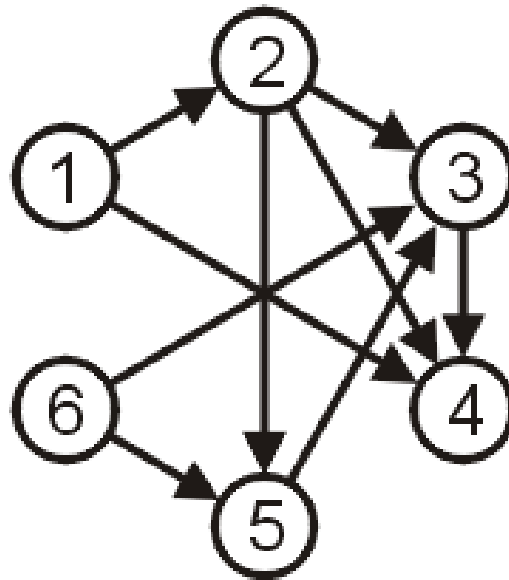




## Topological Sort

# Example

Let us define the table of in-degrees  
(or more likely, copy it)

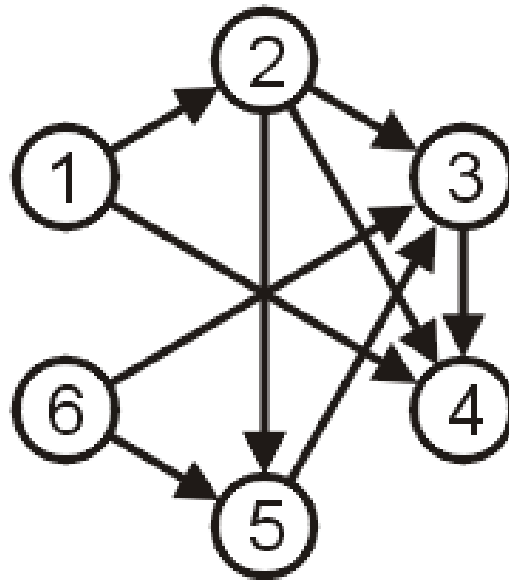


Vertex	In-degree
1	0
2	1
3	3
4	3
5	2
6	0

# Topological Sort

## Example

And a queue into which we can insert vertices 1 and 6



Vertex	In-degree
<b>1</b>	<b>0</b>
2	1
3	3
4	3
5	2
<b>6</b>	<b>0</b>

Queue

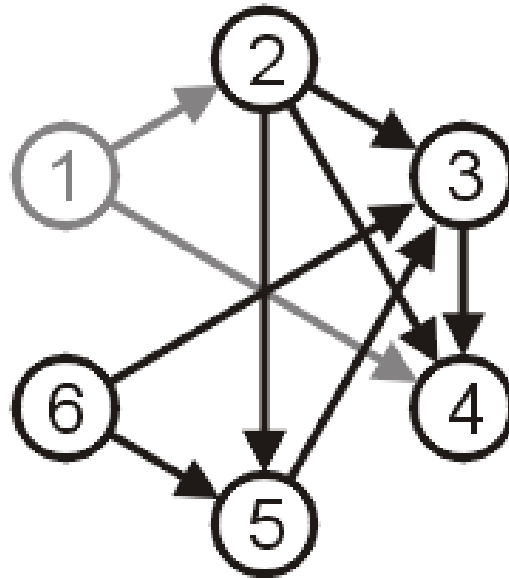
**1** **6**

# Topological Sort

## Example

We dequeue the head (1), decrement the in-degree of all adjacent vertices: 2 and 4

- 2 is decremented to zero: enqueue 2



Vertex	In-degree
1	0
<b>2</b>	<b>0</b>
3	3
<b>4</b>	<b>2</b>
5	2
6	0

Queue

6 2

Sort

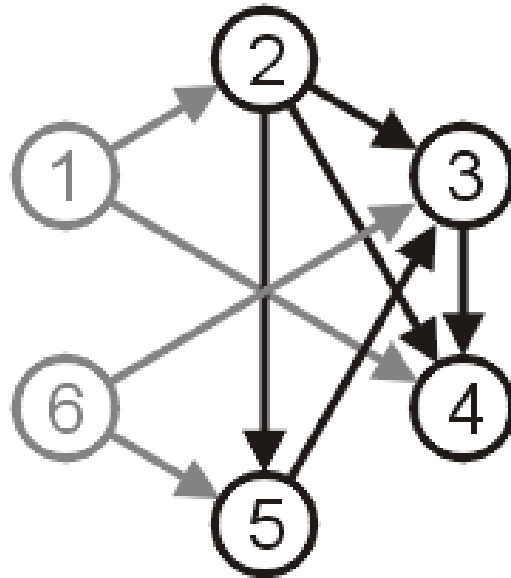
1

# Topological Sort

## Example

We dequeue 6 and decrement the in-degree of all adjacent vertices

- Neither is decremented to zero



Vertex	In-degree
1	0
2	0
<b>3</b>	<b>2</b>
4	2
<b>5</b>	<b>1</b>
6	0

Queue

2

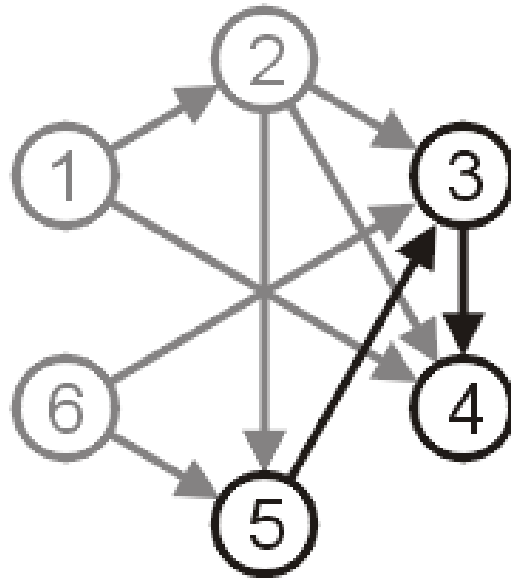
Sort

1, **6**

# Topological Sort

## Example

We dequeue 2, decrement, and enqueue vertex 5



Vertex	In-degree
1	0
2	0
<b>3</b>	<b>1</b>
<b>4</b>	<b>1</b>
<b>5</b>	<b>0</b>
6	0

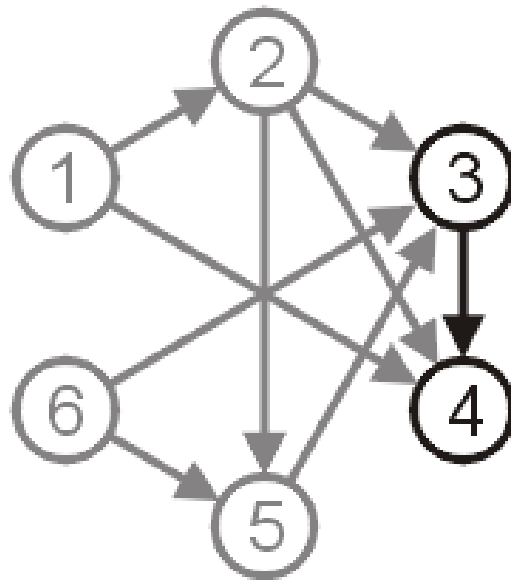
Queue  
5

Sort  
1, 6, **2**

# Topological Sort

## Example

We dequeue 5, decrement, and enqueue vertex 3



Vertex	In-degree
1	0
2	0
<b>3</b>	<b>0</b>
4	1
5	0
6	0

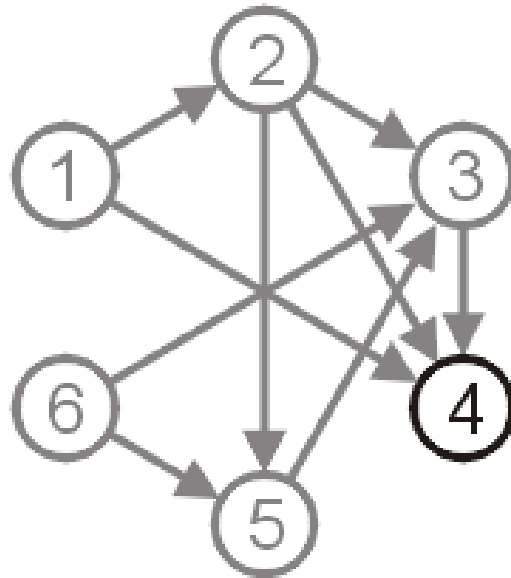
Queue  
3

Sort  
1, 6, 2, **5**

# Topological Sort

## Example

We dequeue 3, decrement 4, and add 4 to the queue



Vertex	In-degree
1	0
2	0
3	0
<b>4</b>	<b>0</b>
5	0
6	0

Queue

4

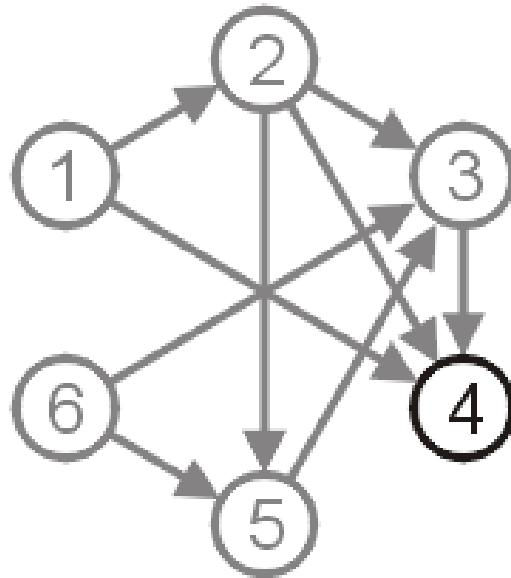
Sort

1, 6, 2, 5, **3**

# Topological Sort

## Example

We dequeue 4, there are no adjacent vertices to decrement the in-degree



Vertex	In-degree
1	0
2	0
3	0
4	0
5	0
6	0

Queue

Sort

1, 6, 2, 5, 3, 4

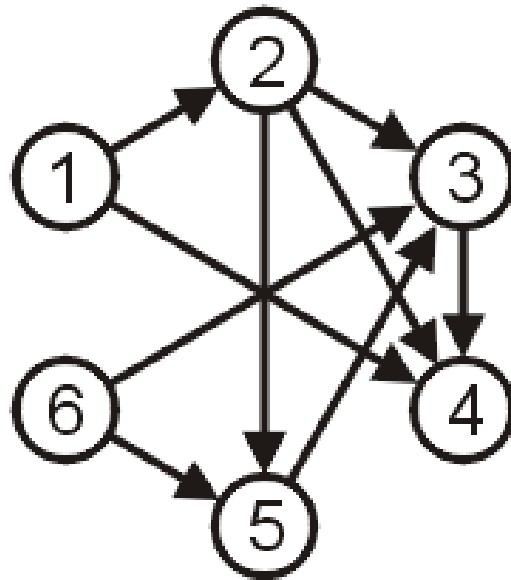


## Topological Sort

# Example

The queue is now empty, so a topological sort is

1, 6, 2, 5, 3, 4



# Summary

- During today's class, we:
  - Looked at Topological sort for Directed Acyclic Graphs (DAGs)
  - Presented an algorithm to implement this operation.
  - Saw some of its applications.